TRV



S&H Form: (2/01) DOCKET NO. 1454.1658

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mathäus DEJORI et al.

Serial No: 10/563,223

Group Art Unit: 1645

Confirmation No. 1087

Filed: January 4, 2006

Examiner:

For: ANALYSIS OF A REGULATORY GENETIC NETWORK OF A CELL

# REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the name of the first Inventor on the corrected Filing Receipt be corrected. The correct name of the first Inventor is **Mathäus Dejori**, as is evidenced by the executed Declaration, attached to the application as filed. Assuming that umlauts cannot be printed on the Official Filing Receipt, the name of the first Inventor should be reflected as **Mathaeus Dejori**, using conventional transliteration to substitute "ae" for "ä". For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Data.

9/27/06

Вv

Richard A. Gollhofer Registration No. 31,106

1201 New York Ave, N.W., 7th Floor

Washington, D.C. 20005 Telephone: (202) 434-1500 Facsimile: (202) 434-1501

©2001 Staas & Halsey LLP



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandria, Viginia 22313-1450

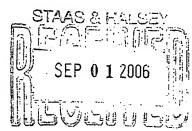
APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/563,223	01/04/2006	1631	1050	1454.1658	4	23	2

**CONFIRMATION NO. 1087** 

CORRECTED FILING RECEIPT

OC000000020201610\*

21171 STAAS & HALSEY LLP SUITE 700 1201 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005



Date Mailed: 08/28/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) -- Mathae us-Mathaus Dejori, Munich, GERMANY;
Martin Stetter, Munich, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 21171.

# Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/51266 06/28/2004

Foreign Applications

GERMANY 103 30 280.8 07/04/2003

If Required, Foreign Filing License Granted: 04/28/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/563.223

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Analysis of a regulatory genetic network of a cell

### **Preliminary Class**

702

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR

1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# ration and Power of Attorney For Atent Application Filipping Für Patentanmeldungen Mit Vollmacht German Language Declaration

Als nachsterliche Bahannter Erfinder erkläre ich hiermit an Eides Statt:

As a below named inventor, I hereby declare that:

dass mein Wohrsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bir, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit dem Titel beantragt wird:

Verfahren, Computerprogramm mit Programmcode-Mitteln und Computerprogramm-Produkt zur Analyse eines regulatorischen genetischen Netzwerks einer Zelle

deren Beschreibung hier belgefügt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

wurde angemeidet am 28.08.2004 unter der US-Anmeidenummer oder unter der Internationalen Anmeidenummer im Rahmen des PCT-Vertrags PCT/EP2004/051266 und am abgeändert (falls zutreffend).

ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeidung einschliesslich der Ansprüche, die eventueil durch einen Zusatzantrag wie oben erwähnt abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung Jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, United States Code, § US-Code, § 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente oder Erfinderurkunden, 365(a) PCT oder § aller internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. PCT Erfinderurkunden ader internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird. vorengeht

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Verfahren, Computerprogramm mit Programmcode-Mitteln und Computerprogramm-Produkt zur Analyse eines regulatorischen genetischen Netzwerks einer Zelle

the specification of which is attached hereto unless the following box is checked:

was filed on 28.06.2004
as United States Application Number or PCT International Application Number

PCT/EP2004/051268 and was amended on

(If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is meterial to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority under Title 35, 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

# BEST AVAILABLE COPY

# German Language Declaration

		Commit Enright	go Doomiaa.		
Prior foreign appplic Priorität beanspruck				Priority	Claimed
10330280.8 (Number) (Nummer)	<u>DE</u> (Country) (Land)	<u>04.07.2003</u> (Day Month Yea (Tag Monat Jahr		⊠ Yes Ja	No Nein
(Number) (Nummer)	(Country) (Land)	(Day Month Yea (Tag Monat Jahr		Yes Ja	No Nein
(Number) (Nummer)	(Country) (Land)	(Day Month Yea (Teg Monat Jahr		Yes Ja	□ No Nein
(Number) (Nummer)	(Country) (Land)	(Day Month Year (Teg Monat Jahr		Yes Ja	□ No Nein
Code, § 120 zi aufgeführten US-P aller PCT internati Vereinigten Staate erkenne, insofem früheren Anspruchs einer US-Patentanr Anmeldung in eine Title 35, US-Code, Welse offenbart wu jeglicher Informati Patentfähigkeit in Federal Regulations im Zeitraum zwisch Patentanmeldung Rahmen des Vertredem Gebiet des	lermit die mir unter ustehenden Vorteile atentanmeldungen bonalen Anmeldungen bonalen Anmeldungen von Amerika beder Gegenstand die dieser Patentanmeneldung, bzw. PCT in gemäß dem erste § 112 vorgeschriebrde, meine Pflicht zu onen an, die zur Einklang mit Title a. § 1.56 von Belang und dem national ags über die Zusam ge über die Zusam gelügtags bekannt gereinsten gestellt die proteste ges	aller unten izw. § 365(c) n, welche die inennen, und eines jeden idung nicht in nternationalen n Absatz von ienen Art und r Offenbarung Prüfung der 37, Code of g sind und die j der früheren en oder im menarbeit auf CT) gültigen	I hereby claim the be Code, § 120 of any 365(c) of any PCT in the United States, I subject matter of each is not disclosed in International applications paragraph of Title acknowledge the dut material to patentabil Federal Regulations, between the filing danational or PCT in application.	United States a ternational applicated below and the claims the prior Unite ion in the manna 5.5, United State to disclose in § 1.56 which the of the prior a	application(s), or § cation designating d, insofar as the of this application d States or PCT er provided by the attes Code, § 112, I formation which is in Title 37, Code of became available application and the
PCT/EP2004/05128 (Application Serial No.) (Anmeldeseriennummer)	(Filling	.2004 Date D. M. Y) dedatum T. M. J)	enhângig (Status) (patentiert, anhângig, aufgegeben)	9	ending Natus) etented, pending, pandoned)
(Application Serial No.) (Anmeldeserlennummer)	(Filing i (Anmei	Šate D,M,Y) dedatum T, M; J)	(Sialus) (patentient, anhängig, aufgeben)	(p	tatus) atented, pending, pendoned)
Erklärung von mir ( Wissen und Gewiss ferner daß ich di Kenntnis dessen vorsätzlich falsche A 1001, Title 18 des	daß alle in der gemachten Angaben en der Wahrheit ents ese eidesstattliche ablege, daß wisungaben oder dergleik US-Code strafbar Gefängnis bestraft w	nach bestem sprechen, und Erklärung in serklich und shen gemäß § sind und mit	I hereby declare that own knowledge are to on information and but further that these sknowledge that willful made are punishable under Section 1001 Code and that suc	rue and that all elief are believe tatements were false statemen by fine or impri of Title 18 of 1	statements made of to be true; and a made with the its and the like so sonment, or both, the United States

und daß derartige wissentlich und vorsätzlich falsche Angeben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

Jeopardize the validity of the application or any patent issued thereon.

# German Language Declaration.

VERTRETUNGSVOLMACHT: Als benannter Erfinder und Markenamt (Name(n)

POWER OF ATTORNEY: As a named inventor, agent(s) to prosecute this application and transact all number)

beauftrage ich hiermit den (die) nachstehend aufgeführten Patentanwalt (Patentanwälte) und/oder hereby appoint the following attorney(s) and/or business in the Patent and Trademark Office connected therewith: (list name and registration Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patent-Registrationsnummer(n) auflisten) And I hereby appoint Customer No. 21171 Direct Telephone Calls to: (name and telephone Telefongespräche bitte richten and number) (Name und Telefonnummer) Ext. Send Correspondence to: **Postanschrift** Staas & Halsey LLP Intellectual Property Attorneys" 1201 New York Avenue, NW, Suite 700 20005 Washington UNITED STATES OF AMERICA Telephone: (001) 202 434 1500 and Facsimile (001) 202 434 1501 Customer No. 21171 Full name of sole or lirst inventor: Valler Name des einzigen oder ursprünglichen Erfinders: Mathäus Dejori Mathäus Dejori inventor's signature Date Datum Unterschifft des Erlinders 11.05 N Residence Wohnsily München, GERMANY München, GERMANY Staatsangshörigkelt Citizanania IT Post Office Addess Postanschrift Daiserstraße 45/Rgb. Daiserstraße 45/Rgb. 81371 München 81371 München **GERMANY** GERMANY Voller Name des zweiten Miterfinders (talls zutreffend): Full name of second joint inventor, if any: Dr. Martin Stetter Dr. Martin Stetter Date Unterschift day Erlinden Inventor's signature Mextin 17-11.05 Residence München, GERMANY München, GERMANY

GERMANY (Bitte entsprechende Informationen und Unterschriften Im Felle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

Citizenship DE

Post Office Address

GERMANY

Mönchbergstraße 15 A 81825 München

Form PTQ/SB/103 (8-96)

Staatsangehörigket

Mönchbergstraße 15 A

81825 München

DE Postanschill

Patent and Trademark Office-U.S. Department of COMMERCE